



Flexible and Selective Indexing in XML Databases



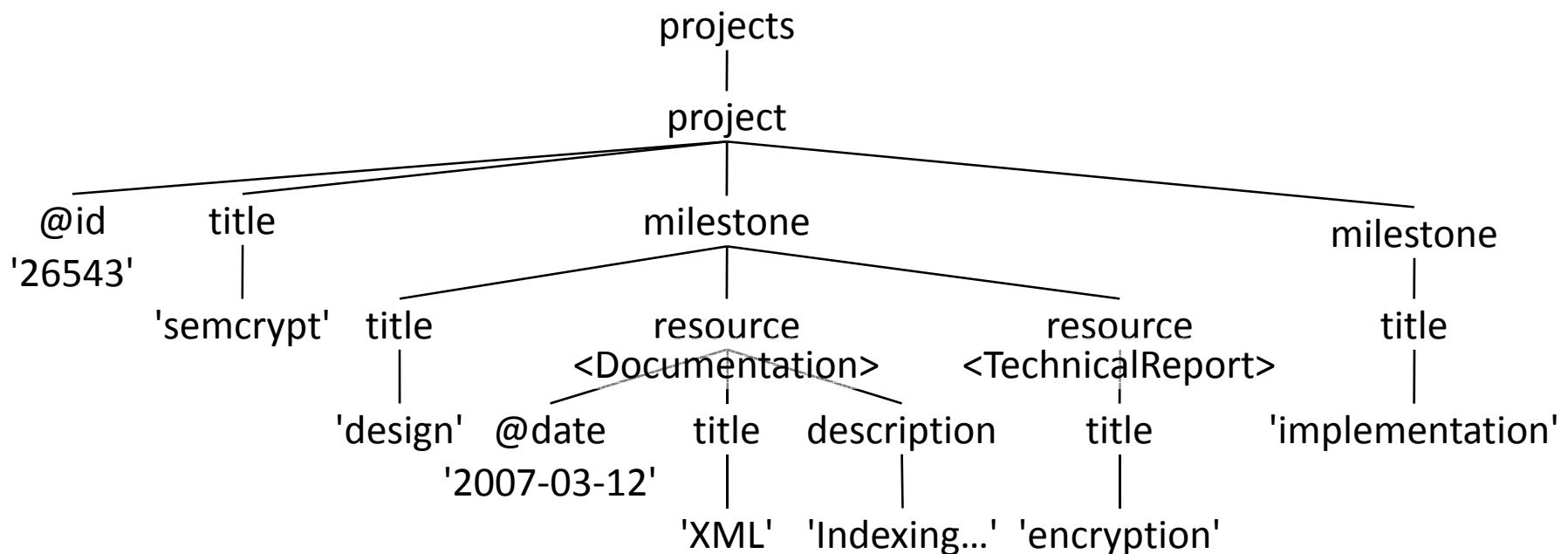
Katharina Grün



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Motivation

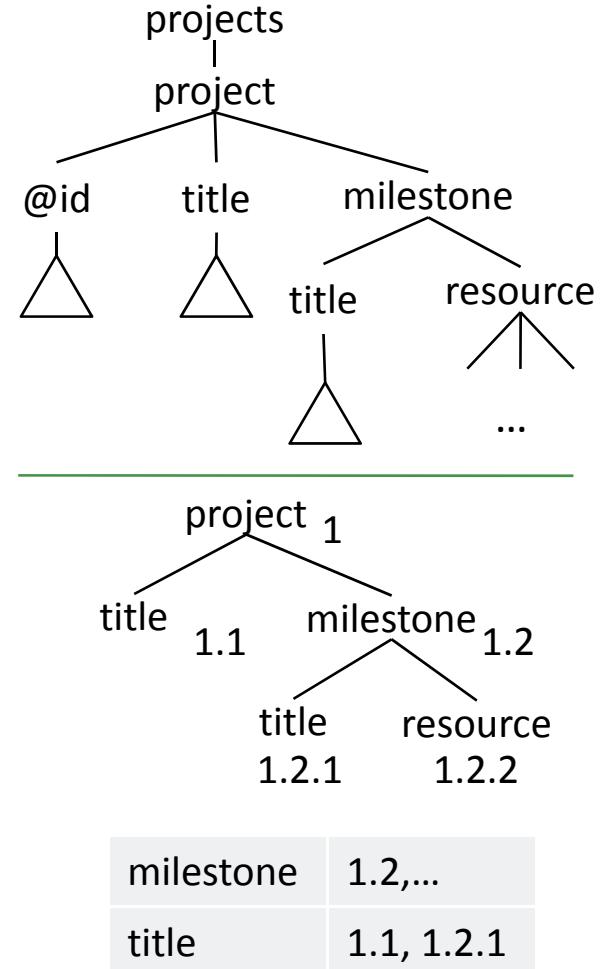
- Widespread use of XML
- XML databases for efficient query and update processing
- Require secondary indices that support arbitrary query workloads
 - Flexibility
 - index content and/or structure
 - support various operations
 - Selectivity
 - index frequently queried document fragments
 - smaller index size, faster index traversal



		labelpath
Q1	/projects/project/milestone/title	
Q2	//title[. = 'XML']	name, exact value
Q3	//resource[@date ≥ '2007-01-01' and @date < '2008-01-01']	name, value range
Q4	//project[@id = '26543']/milestone[title = 'design'] /resource[@date ≥ '2007-01-01']	path, value range
Q5	//element(resource, Report)[description/fn:matches (., '\bdata.*')]	type hierarchy, text

State of the Art - XML index structures

- Path indices (e.g. DataGuide, Index Fabric)
 - index document structure (labelpaths)
 - nested indices on node values
 - Element indices (e.g. XISS)
 - use node labels
 - build indices on node names and values
 - process queries via structural join algorithms
- Not flexible and selective
- index entire documents
 - only support some queries efficiently
 - proprietary processing techniques



State of the Art - Index Processing

- Extensible indexing
 - generic index template: GiST
 - index interfaces: Oracle data cartridges, DB2 database extenders,...
- XML databases (e.g. eXist)
 - index nodes by name and/or value (e.g. all date values)
 - no support for navigation (e.g. date and title values)
- KeyX
 - index model based on path expressions
 - index selection, maintenance (poor performance)
- XML Access Modules (XAMs)
 - storage (index) model based on tree patterns
 - index selection

SCIENS

➤ Structure and Content Indexing with Extensible, Nestable Structures

- **Flexibility**

- How can the database represent and compare indexed properties and nodes?
- Which index structures are necessary?

labeling scheme

- **Selectivity**

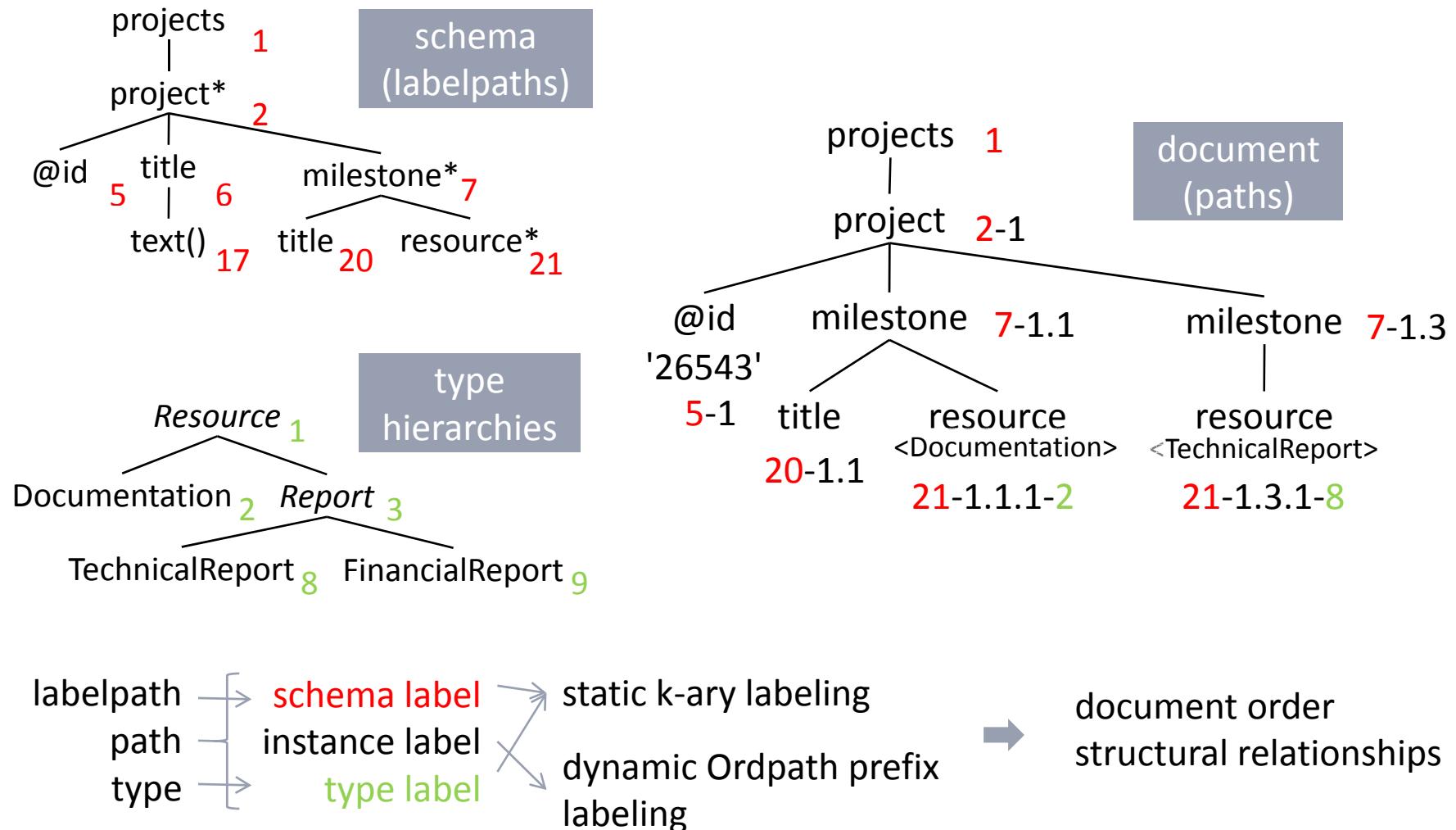
- How can the database represent and process arbitrary indices?
- How can the database update arbitrary indices when documents change?

extensible, nestable index structures

index model and framework

maintenance algorithm

Labeling Scheme



Index Structures

- Index

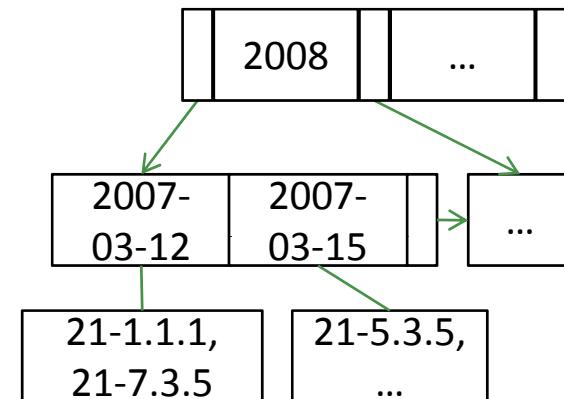
- consists of a set of index entries
- index entry maps index keys to nodes
 - key is value, name, path, labelpath or type
 - node returned is represented by label
 - node returned may differ from node indexed

key	return
2007-03-12	21-1.1.1
2007-03-15	21-5.3.5

(date) (resource)

- Index structures

- hash table, prefix B+-tree and KDB-tree
- extensible by binding comparison operators (=, <) to indexed property
- nestable



Index Structures - Extensibility

	operator		example	hash table	prefix B+-tree	KDB-tree
content	exact	=	k = 2003	✓	✓	✓
	range	=, < ¹	2003 ≤ k ≤ 2005 k ≤ '2007-01-01'		✓	✓
	word ²	=	k = 'XML'	✓	✓	✓
	word prefix ²	=, <	data ≤ k ≤ data∞		✓	✓
structure	exact ³	=	k = 'resource' k = 63 k = 1.1.1	✓	✓	✓
	hierarchy ⁴	= ⁵ , < ⁶	1.1 ≤ k ≤ 1.1		✓	✓

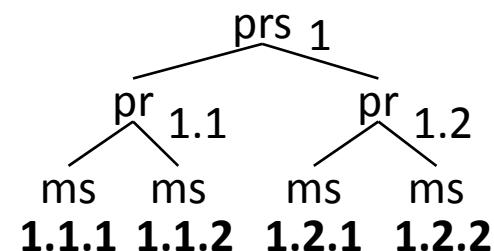
¹ numeric / lexicographic order

² index each word of node value

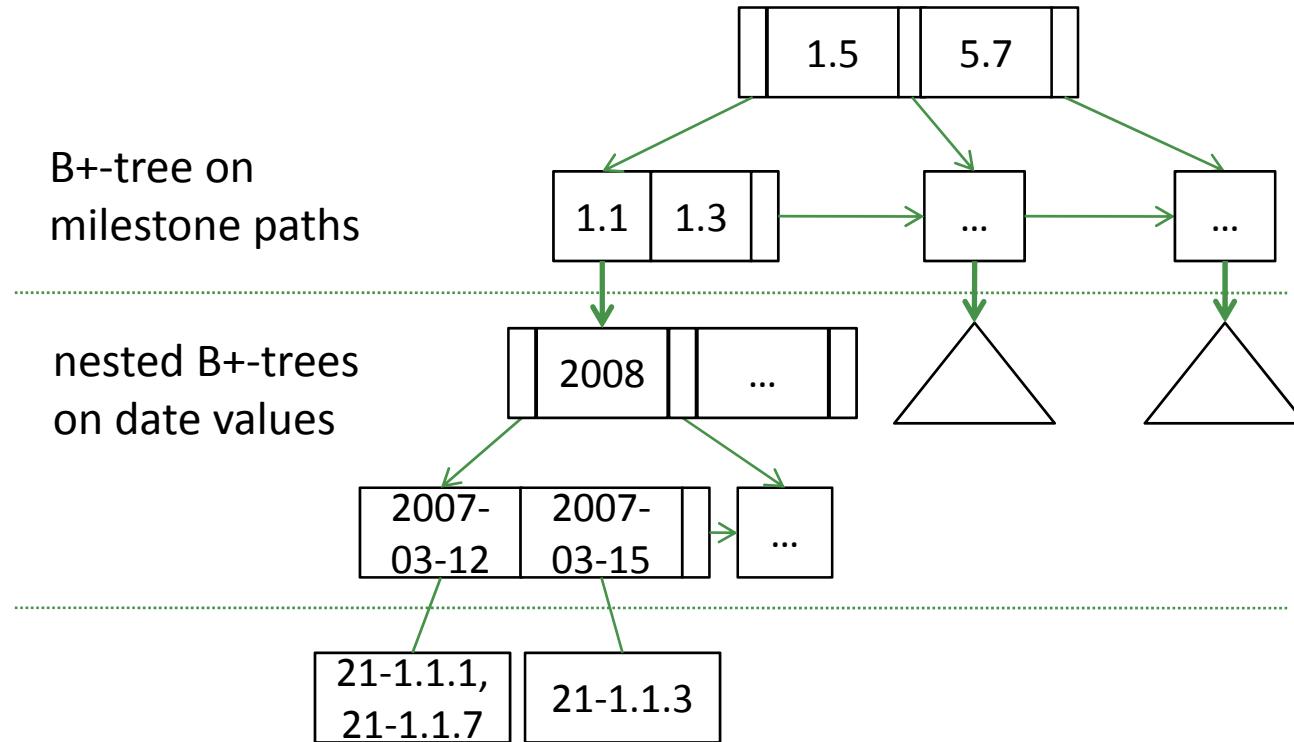
³ name, ⁴ labelpath, path, type

⁵ is-a

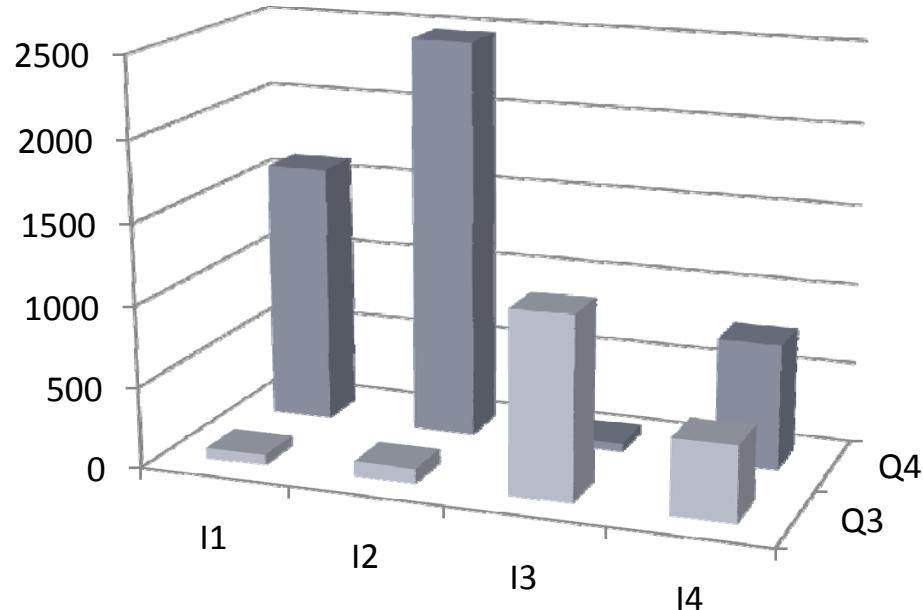
⁶ document order



Index Structures – Example



Index Structures - Performance



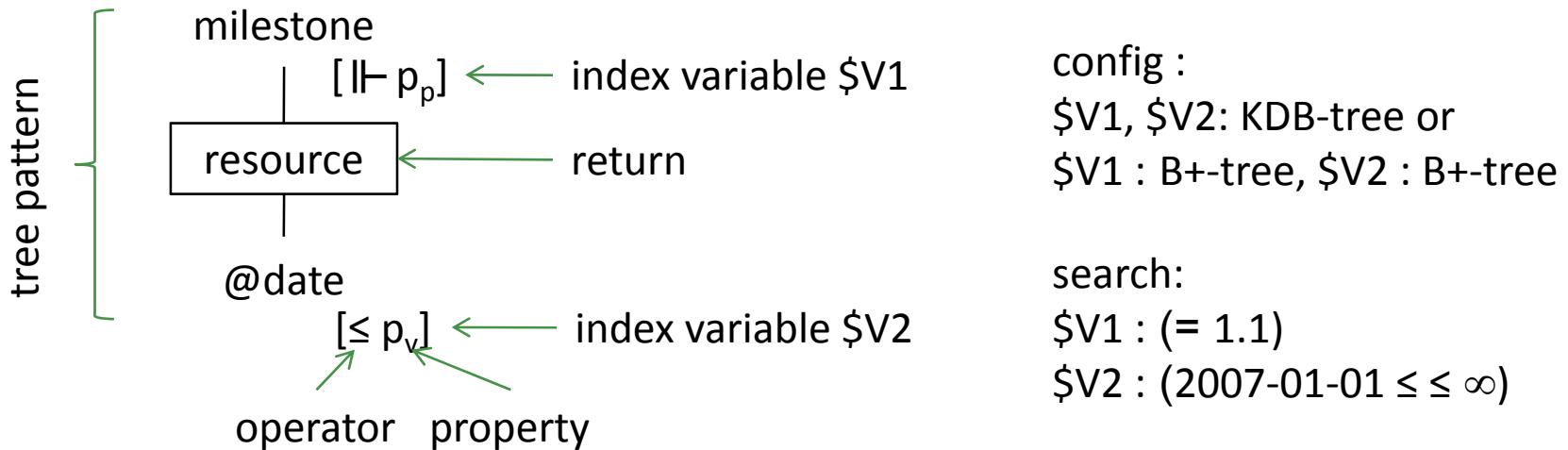
- I1: B+-tree on date values
- I2: B+-tree on date values with nested B+-tree on milestone paths
- I3: B+-tree on milestone paths with nested B+-tree on date values
- I4: KDB-tree on date values and milestone paths

Q3 //resource[@date \geq '2007-01-01' and @date $<$ '2008-01-01']

Q4 //project[@id = '26543']/milestone[title = 'design']/resource[@date \geq '2007-01-01']

10MB document, 63 matching resources (same result size), index access time in ms

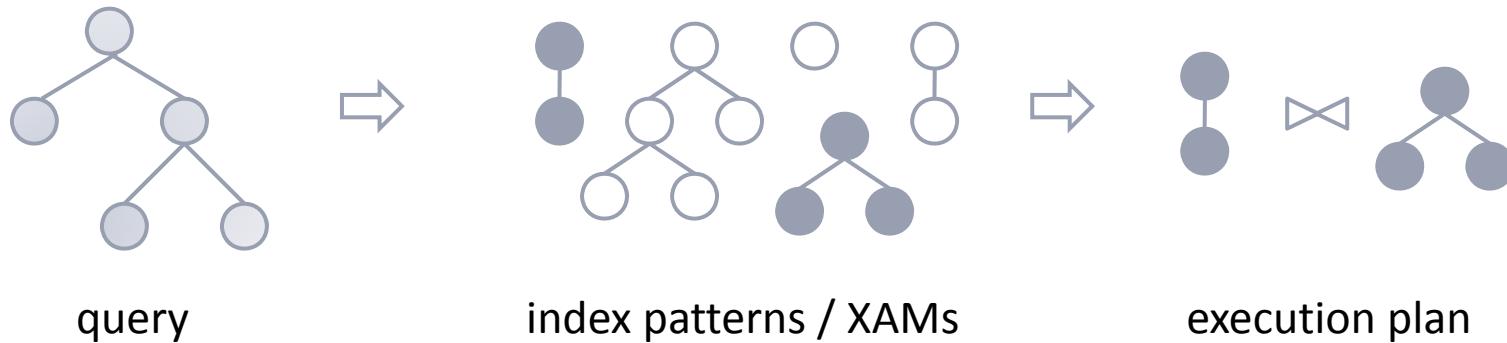
Index Model



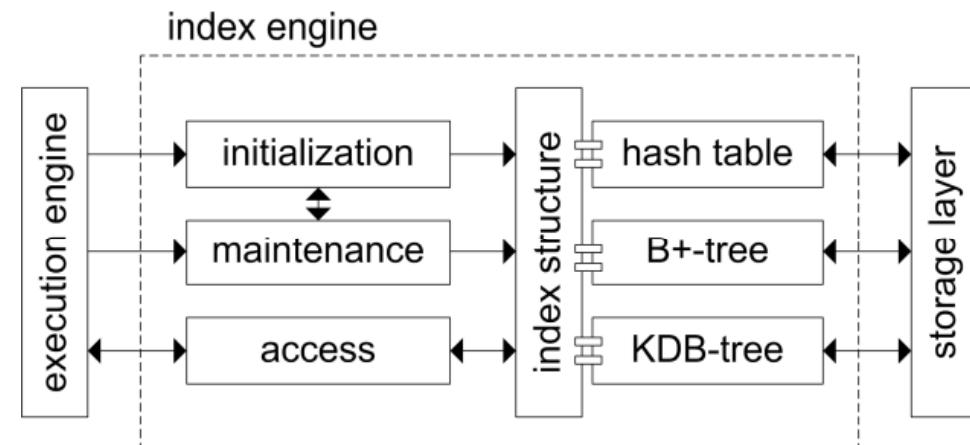
- Index pattern: unordered tree pattern defining
 - indexed properties (keys) and supported operations via index variables
 - nodes to be returned
- Index configuration
 - maps index variables to index structures
- Search configuration
 - defines search key and search operator for each index variable

Index Framework

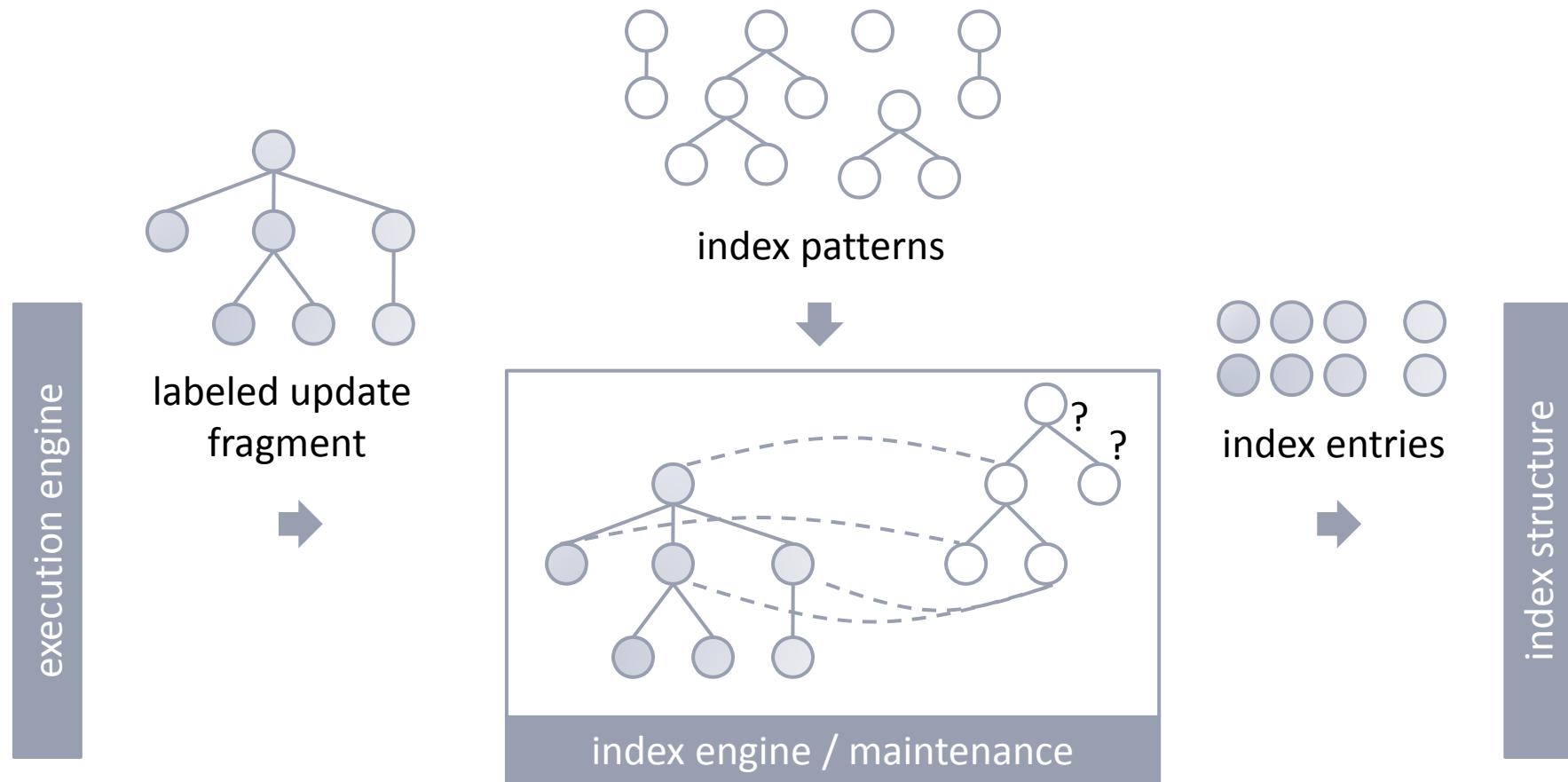
- extend query optimizer
 - index selection based on XAM approach



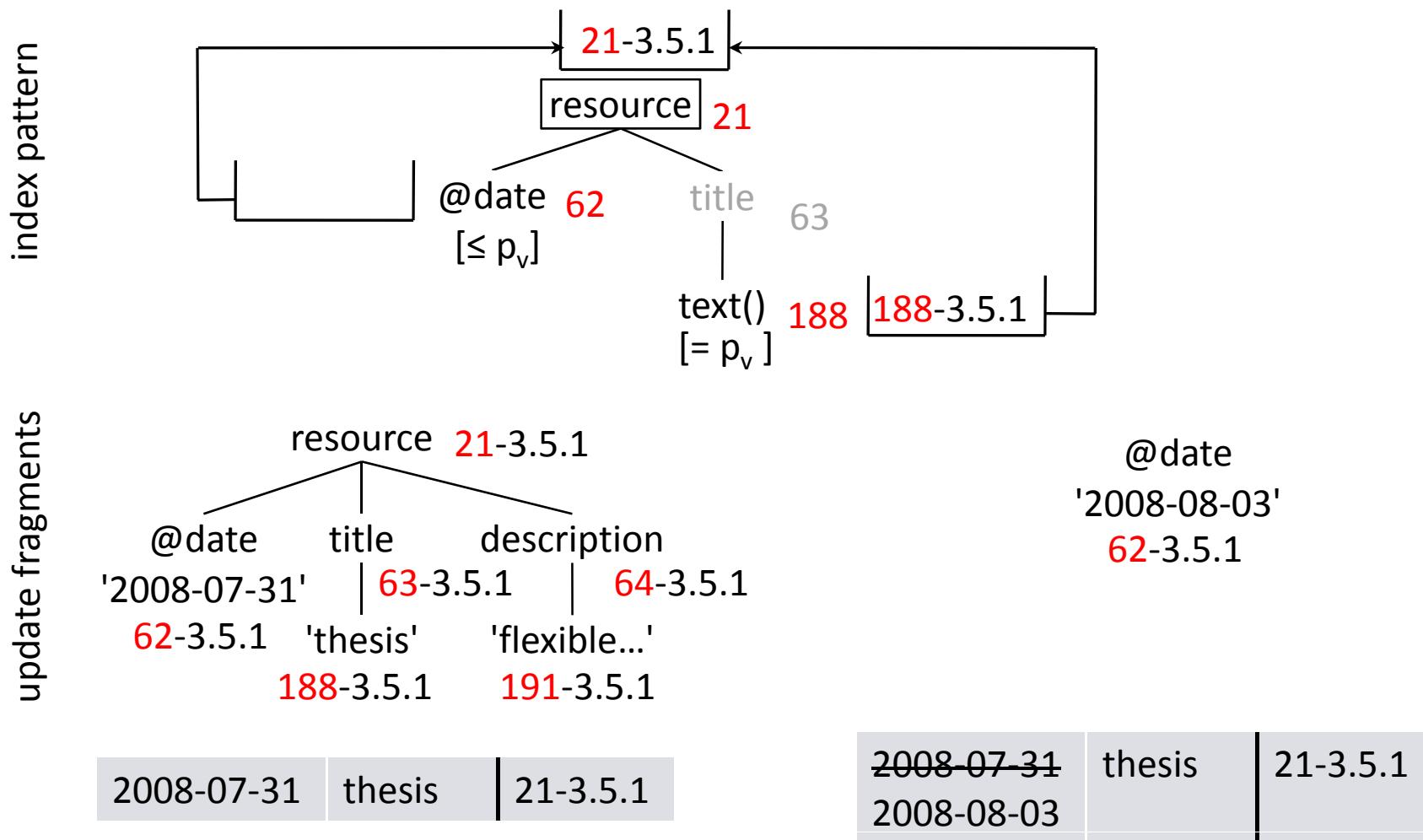
- extend execution engine
 - index access
 - index maintenance
- process arbitrary indices



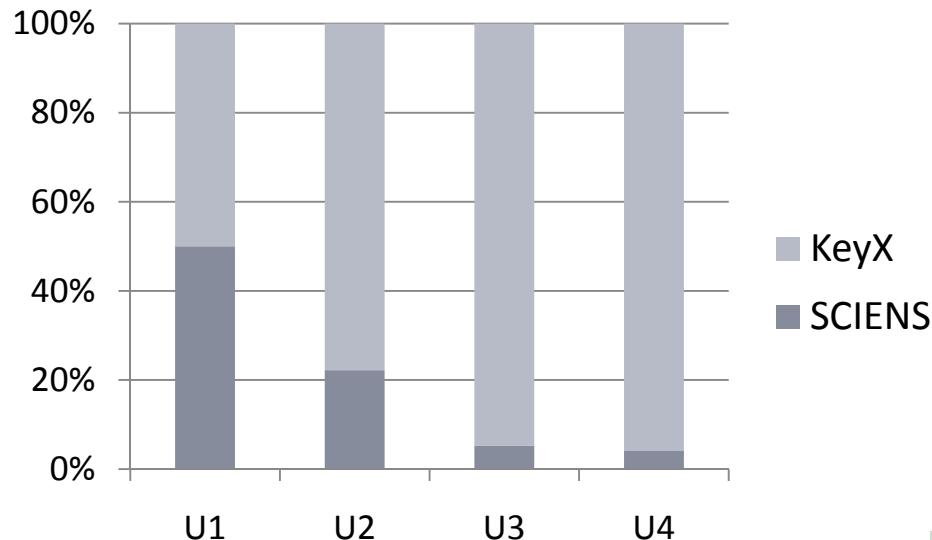
Index Maintenance



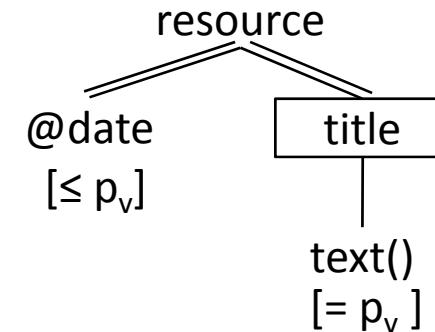
Index Maintenance - Example



Index Maintenance - Performance



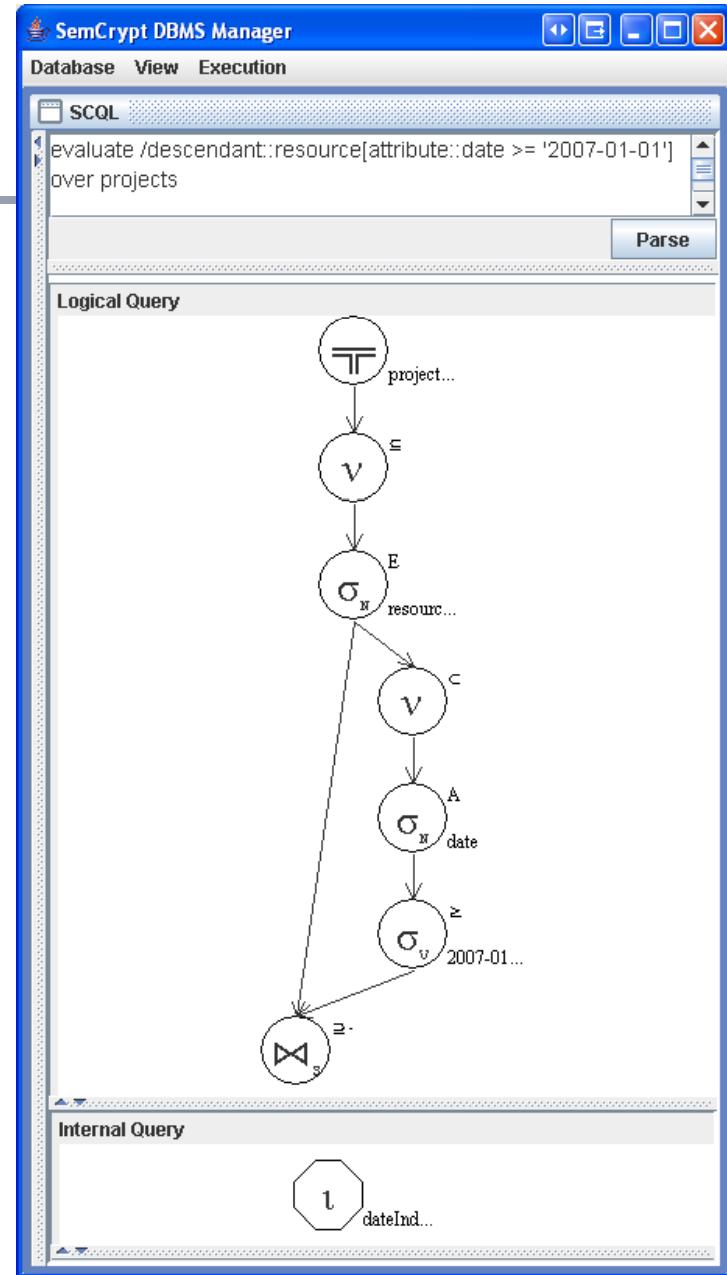
- match entire update fragment instead of single nodes
- only query nodes that are not contained in update fragment
- improvement to KeyX



U1	1 date
U2	1 resource
U3	1 milestone with 100 resources
U4	1 project with 10 milestones and 100 resources each

XML Database SemCrypt

- FIT-IT project at DKE
- only stores and processes encrypted data at the server
- for outsourcing sensitive XML documents
- uses labeling and indices to efficiently search in encrypted data
- integrates all concepts of this thesis



Conclusion

- Provide arbitrary indices on document content and structure
 - Labeling scheme
 - Extensible, nestable structures
 - Index framework based on index model
 - Maintenance algorithm
- Represent and process those indices that best match query workload